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3×10016 μ mm $1 \times 11 \times 10^{-3}$ ⁴ Modified SMOTE and Ensemble Learning 5 ϵ - Rased on Expert Iudoment for Chronic ϵ $\frac{1}{7}$ Based on Expert Judgment for Chronic $\sum_{i=1}^{8}$ $\sum_{i=1}^{8}$ **Prediction**

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23 **Abstract.** Chronic non-communicable diseases such as cancer, stroke, diabetes mellitus (DM), hypertension (HT), chronic kid-
23 $_{24}$ ney failure (CKF), and cardiovascular disease (CVD) have become major health issues worldwide. Another challenge arises $_{24}$ 25 in the number of positive and negative classes in the data. In addition, doctors need additional information from GCU data to 25 26 26 provide preventive therapy to people at risk of developing chronic diseases in the future. This can be achieved by integrating $_{27}$ expert knowledge with machine learning models. This research aims to predict chronic diseases using a single type of GCU $_{27}$ 28
implement voting ensemble learning based on expert judgment. The results show that the proposed model improves the predic-
²⁸ 29 29 tion performance by 10% to 47% compared to traditional models. This system provides guidance to medical professionals to 30 30 perform preventive interventions more accurately and efficiently, helping to improve the quality of life of patients. 31 31 Keywords: Chronic disease prediction, GCU dataset, Weighted SMOTE, Tree-based ensemble learning when predicting these diseases using datasets from general checkup (GCU) examinations. One of the problems is the imbalance data. Another objective is to modify the synthetic minority oversampling technique (SMOTE) to handle imbalanced data and

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35 35 36 36 1. Introduction

 $\frac{37}{28}$ Non-communicable diseases such as cancer, stroke, DM, HT, CKF, and CVD have become major ³⁸ health concerns worldwide [\[34\]](#page-22-0). These diseases have a significant impact on the quality of life of in-
and ³⁸ ³⁹ dividuals and communities and impose a substantial economic burden on healthcare systems [\[30\]](#page-22-1). Ef-³⁹ ⁴⁰ fective management and comprehensive prevention strategies are critically important [\[1\]](#page-21-0),[\[21\]](#page-21-1),[\[35\]](#page-22-2). This ⁴¹ includes health education [\[12\]](#page-21-2), managing risk factors [\[20\]](#page-21-3), [\[25\]](#page-21-4), [\[43\]](#page-22-3), and predicting disease likelihood ⁴² [\[2\]](#page-21-5). These efforts are key to reducing the prevalence and impact of these diseases. In addressing this $\frac{43}{43}$ $\frac{1}{43}$ $\frac{1}{43}$ $\frac{1}{43}$ challenge, innovations in medical and health technology are highly needed.

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1 1 1 Several studies on chronic disease prediction are continually improving to develop more accurate pre-
1 ² diction methods. Almadani in their research, predictions were made using data mining techniques to ² ³ identify patients with the highest likelihood of experiencing a stroke [\[3\]](#page-21-6). However, the model applied³ ⁴ in the study did not include any modifications or the addition of variables. In their research, Latha ap- $\frac{5}{6}$ plied an ensemble strategy to improve the accuracy of CVD risk prediction based on existing risk factors 6 6 [\[24\]](#page-21-7). This strategy achieved a maximum improvement of 7% in the precision of the prediction. How-⁸ ever, the ensemble strategy used only existing machine learning models combined without incorporating ⁸ 9 9 additional variables.

10 Fitriyani *et al*. proposed an early prediction model for diabetes mellitus and hypertension based on 10 ¹¹ individual risk factor data [\[15\]](#page-21-8). The study also developed a mobile application to provide a practical ¹¹ 12 tool. However, the data used was derived from four different secondary datasets to predict these two 12 ¹³ diseases. Ren et al. studied the problem of predicting chronic kidney disease in hypertensive patients¹³ 14 14 using a hybrid model combining Bidirectional Long Short-Term Memory (BiLSTM) and an autoencoder ₁₆ network [\[38\]](#page-22-4). Howlader et al. conducted an identification of significant attributes and a prediction of ₁₆ $_{17}$ diabetes mellitus [\[19\]](#page-21-9). The feature identification techniques used included various methods, such as $_{17}$ 18 18 information gain and analysis of variance (ANOVA). However, these studies did not incorporate expert ¹⁹ judgment in identifying features and risk factors. ¹⁹

²⁰ Su *et al*. identified the main issue in their research as the low generalizability of the prediction model, ²⁰ ²¹ caused by an imbalanced dataset [\[42\]](#page-22-5). The study addressed this by grouping data based on age cate-²¹ 22 22 ²²₂₃ gories using a feature compensation technique. However, the adaptation technique did not incorporate $\frac{22}{23}$ expert judgment in the synthetic data generation process, nor did it include weighted variables in the $_{24}$ $_{25}$ SMOTE algorithm. Castellanos et al. addressed issues related to the maximum rule and intersection $_{25}$ 26 26 rule in datasets for DM, cancer, and CVD [\[9\]](#page-21-10). The rules were generated through their classification ²⁷ model. However, the depth of the rules produced by the algorithm remained fixed (unable to increase or ²⁷ 28 28 decrease), and the generated rules were not derived from healthcare experts.

²⁹ Based on the limitations of previous studies, such as reliance on secondary (public) datasets, the lack ²⁹ ³⁰ of integration between expert judgment and machine learning models, and the absence of weighted 31 31 32 33 31 31 32 33 34 35 37 38 39 31 31 32 33 34 35 37 38 39 39 31 32 33 variables in the SMOTE algorithm, these constraints have led to prediction accuracy that could still $\frac{32}{2}$ ³³ be improved and limited generalization ability, especially on imbalanced datasets. Therefore, this study³³ 34 proposes a new model for predicting several chronic diseases based on expert judgment. Specifically, the 34 35 35 main contributions of our research are as follows: First, using a single type of primary dataset (general ³⁶ checkup dataset) to predict multiple chronic diseases. Second, adding weighted variables to the SMOTE³⁶ ³⁷ algorithm. Third, enhancing prediction performance using tree-based ensemble learning integrated with³⁷ ³⁸ expert judgment. This study also aligns with SDG 3 (Good Health and Well-Being) by promoting better³⁸ $39 \t 39 \t 39$ 40 health outcomes using advanced machine learning techniques and expert knowledge.

⁴¹ This paper is structured as follows: Section [1](#page-0-5) discusses the background of the research conducted. ⁴² Section [2](#page-2-0) reviews related works on chronic disease prediction using machine learning and approaches ⁴² 43 43 to addressing data issues. Section [3](#page-3-0) describes the research methodology applied in this study. Section [4](#page-12-0) ⁴⁴ and [5](#page-16-0) presents the research findings and discusses the results. Finally, Section [6](#page-20-0) concludes the research ⁴⁴ 45 45 findings and highlights potential future works.46 46

1 **2. Related Works** 1

2×2 3 3 *2.1. Handling Imbalanced Data*

⁴ Lopez Martinez *et al.* conducted research on HT prediction using a dataset derived from questionnaires $\frac{4}{5}$ ⁵ in the US region. Imbalanced data handling was applied using the SMOTE technique, which successfully $\frac{6}{100}$ improved the F1-score by 29.6%. The F1-score increased from 47.4% before applying SMOTE to 77% after its application [\[26\]](#page-22-6). However, details such as the number of samples after applying SMOTE were $\frac{8}{\pi}$ not provided, and the validity of the questionnaire data used was unclear.

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Ramezankhani *et al.* specifically examined the impact of the SMOTE oversampling technique on the 10 performance of three classifiers for predicting diabetes mellitus. The study also analyzed the percent- $\frac{11}{12}$ age of synthetic data generated, applying values ranging from 100% to 700%. The best F1-score was ¹² achieved by generating synthetic data equivalent to 700% of the minority class in the training data. The ¹³ F1-score increased from 33.6% before applying SMOTE to 43.6% after its application, indicating that $\frac{14}{11}$ SMOTE improved performance by 10% [\[37\]](#page-22-7).

¹⁵ 15 Azad *et al.* discussed the application of SMOTE, genetic algorithm, and decision tree models for ¹⁵ $\frac{16}{12}$ disease prediction. The study also examined the impact of different training-testing data proportions ¹⁷ on prediction results. The dataset used was obtained from the National Diabetes and Kidney Disease¹⁷ ¹⁸ Institute. The training-testing proportions applied were 60-40, 65-35, 70-30, 75-25, and 80-20. The $\frac{19}{2}$ best prediction results were achieved with an 80-20 dataset split, yielding an F1-score of 78.38% and $\frac{19}{2}$ ²⁰ an AUC-ROC of 78.62% [\[6\]](#page-21-11). However, the study did not report the size of the dataset after applying ²⁰ 21 CMOTE $\frac{1}{2}$ 21 CMOTE SMOTE.

²² Sreejith *et al*. proposed a framework to address class imbalance and feature selection issues. An en-²² ²³ hanced SMOTE technique using the Orchard algorithm was applied to handle imbalance, while feature²³ ²⁴ subset selection was used for feature selection. Three public datasets from the UCI repository were uti-²⁴ ²⁵ lized, including the Pima Indian Diabetes (PID) dataset. The F1-score achieved on the PID dataset was ²⁵ ²⁶ 89% [\[41\]](#page-22-8). However, the dataset balancing process was applied to the entire dataset rather than just the ²⁶ ²⁷ training data, which is not ideal. As stated by, Ramadhan et al., dataset balancing should be performed²⁷ ²⁸ specifically on the training data [\[32\]](#page-22-9).

²⁹ Maldonado *et al*. proposed an enhancement to the SMOTE algorithm by introducing feature weight-²⁹ ³⁰ ing, named Feature-Weight SMOTE (FW-SMOTE). This approach replaces the Euclidean distance with ³⁰ ³¹ the Induced Minkowski OWA Distance (IMOWAD). Additionally, the method integrates feature selec-³¹ ³² tion techniques, such as direct feature ranking, into the oversampling process [\[29\]](#page-22-10). However, feature³² ³³ ranking is often specific to particular datasets and may not generalize well across diverse domains. An-³³ ³⁴ other limitation is that FW-SMOTE relies on filter-based methods, such as mutual information and cor-³⁴ ³⁵ relation scores, for feature ranking. These methods might miss opportunities for better feature selection, ³⁵ ³⁶ which could be achieved through the integration of expert judgment tailored to the problem domain.³⁶

³⁷ Wang *et al.* introduced an adaptive weighted oversampling method that combines the Support³⁷ ³⁸ Vector Machine (SVM) algorithm with the SMOTE technique, called Adaptive Weighting SMOTE³⁸ ³⁹ (AWSMOTE). This approach addresses a key limitation of traditional SMOTE, specifically the collinear-³⁹ ⁴⁰ ity problem between synthetic and original samples. The variable weights are determined based on ⁴⁰ ⁴¹ estimation vectors from SVM [\[44\]](#page-22-11). However, the method heavily relies on the SVM model to distin-⁴¹ ⁴² guish between support vectors and non-support vectors, which limits its applicability to SVM-based⁴² ⁴³ models and leaves its potential unexplored for other methods, such as ensemble or decision tree-based⁴³ ⁴⁴ approaches. Another limitation is the absence of datasets with extreme imbalance ratios, such as 1:100, ⁴⁴ ⁴⁵ in the evaluation, which restricts the validation of the method in more challenging scenarios.⁴⁵ 46 46

 1 Fahrudin *et al*. proposed an approach called Attribute Weighted and KNN Hub on SMOTE (AWH- 2 SMOTE). Attribute weighting was implemented using four methods: Wojna1, Wojna2, Scaled Mis- 3 classification Ratio (SMR) Weight, and Information Gain [\[13\]](#page-21-12). However, the selection of the attribute 4 weighting method was performed randomly. A key limitation of this study is the lack of evaluation on 5 datasets with extremely imbalanced ratios, such as 1:100. Additionally, the approach has not been tested 6 with other machine learning algorithms, such as ensemble learning, to explore its broader applicability.

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8 8 *2.2. Chronic Diseases Prediction*

10 10 Sorayaie Azar *et al*. conducted cancer prediction using six machine learning models: K-Nearest 11 Neighbours (KNN), SVM, Decision Tree (DT), Random Forest (RF), Adaptive Boosting (AdaBoost), 11 12 and Extreme Gradient Boosting (XGBoost). The dataset faced challenges such as class imbalance and 12 13 13 an excessive number of features. To address these issues, SMOTE was employed for imbalanced data ¹⁴ handling, and feature selection was applied to identify relevant features. The best prediction performance ¹⁴ 15 15 was achieved with the RF model, yielding an F1-score of 71.78% and an AUC of 82.38% [\[40\]](#page-22-12).

¹⁶ Kibria *et al*. employed a soft voting ensemble approach for predicting diabetes mellitus. The dataset ¹⁶ ¹⁷ used was the public Pima Indian dataset, which faced the issue of class imbalance. SMOTE-Tomek was ¹⁷ ¹⁸ utilized to handle the imbalance. The voting ensemble model combined XGBoost and RF, while several ¹⁸ ¹⁹ standalone machine learning models, such as AdaBoost, XGBoost, RF, SVM, and Logistic Regression¹⁹ ²⁰ (LR), were used for comparison. The soft voting ensemble model achieved an F1-score of 89% and an ²⁰ ²¹ AUC of 95%, outperforming the standalone machine learning models [\[22\]](#page-21-13). However, a limitation of the ²¹ ²² study is that the data used was secondary and widely used by other researchers, with no direct validation²² ²³ by medical experts to ensure the synthetic data's interpretation aligns with clinical realities.²³

²⁴ Ashfaq *et al*. analysed the application of several ensemble models, including stacking, bagging, and ²⁴ ²⁵ voting, for diagnosing CVD. The study utilized the Cleveland dataset from the UCI open repository. ²⁵ ²⁶ The best accuracy was achieved with the bagging ensemble model at 86%, while the other ensemble ²⁶ ²⁷ models showed only a 1% difference: 85% for voting and 84% for stacking [\[5\]](#page-21-14). However, the study did²⁷ ²⁸ not specify the individual models used in the voting ensemble. In other disease prediction studies, voting ²⁸ ²⁹ ensembles have been shown to outperform stacking ensembles by a margin of 10% [\[33\]](#page-22-13). This is due to ²⁹ ³⁰ the selection of base models being a critical factor in determining prediction outcomes.³⁰

³¹ Habib predicted CVD by implementing a hard voting ensemble. The base models used for voting were³¹ ³² LR, RF, Multi-Layer Perceptron (MLP), and Gaussian Naïve Bayes (GNB). The study also considered³² ³³ several critical factors that increase the risk of CVD, such as the number of cigarettes smoked per day, ³³ ³⁴ glucose levels, and blood pressure. Additionally, imbalanced data handling was addressed using random³⁴ ³⁵ under sampling. The voting model achieved an F1-score of 82% and an AUC of 73% [\[16\]](#page-21-15).³⁵ 36

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 38 38 38 Method 38 3. Method

⁴⁰ Handling imbalanced datasets in medical data has become crucial as it can lead to inaccuracies in ⁴⁰ ⁴¹ prediction [\[34\]](#page-22-0). Additionally, handling imbalanced datasets prior to the machine learning process can ⁴¹ ⁴² improve the quality of prediction models [\[37\]](#page-22-7). This study will modify the SMOTE algorithm. The mod-⁴³ ification was made by adding a weight variable to the algorithm. SMOTE was chosen because, in pre-⁴⁴ vious research, it demonstrated superior results compared to other oversampling algorithms such as ⁴⁴ ⁴⁵ SMOTE-Tomek and Adaptive Synthetic (ADASYN) [\[35\]](#page-22-2). Additionally, SMOTE is independent of data⁴⁵ 46 46

 1 distribution, so it can be applied to different types of datasets [\[10\]](#page-21-16). The traditional SMOTE oversam- 2 pling algorithm has a significant limitation: the quality of resampled data can be low when minority data 3 points are too far from their nearest neighbours or when neighbouring data points belong to a different 4 class (overlapping) [\[23\]](#page-21-17).

5 5 This issue can be addressed using weighting, which aims to bring data points that are too far apart 6 6 closer together. The weighting concept can be applied to the attributes of the dataset [\[13\]](#page-21-12). Current at-7 7 tribute weighting techniques include information gain [\[13\]](#page-21-12), correlation score [\[28\]](#page-22-14), and mutual infor-8 mation [\[39\]](#page-22-15). Ramadhan et al. stated in their research that future studies could incorporate medical ex-
8 9 9 perts' knowledge into the machine learning prediction process [\[34\]](#page-22-0). Therefore, in this study, the attribute 10 weighting technique utilizes weights determined based on expert judgment. Weighting is applied to help 10 11 the model prioritize relevant variables, enhancing prediction result. Additionally, it also utilizes doctors' 11 12 knowledge and expertise to assess attribute importance.

13 13 Fig. [1](#page-5-0) illustrates the expert judgment rules for diagnosing several chronic diseases by identifying the 14 most influential features in the dataset. This expert judgment was obtained through discussions with a 14 15 team of doctors at the Telkom Health Foundation. The role of expert judgment in this study is to assign 15 16 weights to the attributes in the dataset. Assigning these weights requires a method to integrate expert 16 17 judgment with the SMOTE algorithm. In this study, the integration method involves incorporating a 17 18 weighting formula. Formulas [\(1\)](#page-4-0) - [\(3\)](#page-4-1) represent mathematical calculations to generate weight values, 18 19 which will serve as the weights for each feature in the data. 19

$$
W\alpha = K \times \alpha \tag{1}
$$

 $W\beta = K \times \beta$ (2)

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23 23

24 24

 25 25 $w\rho = \mathbf{r} \times \rho$ (2) z_6

27 декемв<u>е</u>р — 2002 год на 2003 год на 20
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 28 28 29 $($ $)$ $($ $)$ 30 $\left\{ \sum_{x} w_{\alpha} \right\} + \left\{ \sum_{x} w_{\beta} \right\} = 1$ (3) 30 $\sqrt{\nabla}$ \sum_{SFE} \times *Wα* \setminus $+\left(\nabla \right)$ \sum_{NSFE} \times *W* β \setminus $= 1$ (3)

 \blacksquare 33 **33 Where:** \blacksquare 33 Where:

 \bullet \sum *SFE* represents the number of features in the GCU data that are significant according to expert ³⁴ $\frac{1}{2}$ 35 $\frac{1}{2}$ $\frac{1}{2}$ judgment.

31 \sqrt{SE} / \sqrt{NEF} / 31 32 32

- ³⁶ \sum *NS FE* represents the number of features in the GCU data that are non-significant according to ³⁶ ³⁷ expert judgment.
- \bullet *K* is a base constant used to ensure the total weight equals 1. The value of *K* in this formula must ³⁸ be determined first before calculating the values of $W\alpha$ and $W\beta$.
⁴⁰ **e** α is a constant used to determine the relative weight difference for significant features, while β is a ⁴⁰
- ⁴⁰ α is a constant used to determine the relative weight difference for significant features, while β is a⁴⁰
⁴¹ constant for determining the relative weight difference for non-significant features ⁴¹ constant for determining the relative weight difference for non-significant features.⁴¹
- ⁴² *Wα* represents the weight value for significant features, while *Wβ* represents the weight value for $\frac{42}{13}$ 43 43 non-significant features.
- ⁴⁴ **•** The value of *α* is always set to be 10 times greater than *β*, as features deemed significant by experts ⁴⁴
⁴⁵ are considered to have 10 times more importance than pop-significant features 45 45 are considered to have 10 times more importance than non-significant features.46 46

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24 24 Fig. 1. Expert Judgment Knowledge

²⁵ 25 The relationship between Fig. [1](#page-5-0) and the methodology in this study serves as a conceptual framework ²⁵ ²⁶ that illustrates how Expert Judgment is integrated with machine learning models to enhance prediction²⁶ ²⁷ outcomes for various medical conditions. Each branch in the figure represents a specific disease or med-²⁷ ²⁸ ical condition (e.g., Diabetes Mellitus, cardiovascular diseases, stroke), while the sub-branches denote²⁸ ²⁹ clinical features or variables identified as significant by experts. These features are selected based on ²⁹ 30 30 their proven relevance in clinical practice by the experts.

³¹ In the methodology, this framework guides the weighting process for features, ensuring that: relevant³¹ ³² features are prioritized, meaning variables identified by experts (e.g., blood glucose levels for diabetes or ³² ³³ blood pressure for hypertension) are given higher weights compared to non-significant features accord-³³ ³⁴ ing to the experts. By basing the feature weighting process on this expert judgment-driven methodology, ³⁴ ³⁵ the approach ensures that the machine learning model is not only data-driven but also clinically informed.³⁵

³⁶ Fig. [2](#page-6-0) presents the flow diagram of the proposed research methodology. In this proposed diagram, ³⁶ ³⁷ the process is divided into three stages. The first stage begins with the availability of the GCU dataset³⁷ ³⁸ for chronic diseases. Exploratory Data Analytics (EDA) is conducted on the GCU dataset to examine its³⁸ ³⁹ characteristics, structure, and existing issues. Details about the GCU dataset are presented in Section 3.3.³⁹ ⁴⁰ The results of the EDA indicate that the GCU data has issues with missing values and outliers. Feature ⁴⁰ ⁴¹ encoding is performed to convert string-type data into integer or numeric formats to facilitate machine⁴¹ ⁴² learning models in processing the data efficiently [\[32\]](#page-22-9).

⁴³ The second stage begins with checking whether the GCU data for each disease is imbalanced. If ⁴³ ⁴⁴ the data is not imbalanced, the process directly proceeds to the third stage. However, if the data is ⁴⁴ ⁴⁵ imbalanced, handling is performed specifically on the training data. The imbalanced data handling is ⁴⁵ 46 46

36 *3.1. Dataset GCU*

³⁸ This study uses a single type of GCU dataset for multiple chronic diseases, obtained from the Telkom³⁸ ³⁹ Health Foundation in Bandung, with sample collection spanning 2019–2021. The dataset comprises 26³⁹ ⁴⁰ features (including the class label) for six types of chronic diseases: DM, cancer, CVD, stroke, CKF, and ⁴⁰ ⁴¹ HT. The dataset characteristics includes 5 categorical features and 20 numerical features [\[36\]](#page-22-16). Details ⁴¹ ⁴² of the GCU dataset used are available in the Zenodo data repository: [https://doi.org/10.5281/zenodo.](https://doi.org/10.5281/zenodo.14725457) ⁴² ⁴³ [14725457.](https://doi.org/10.5281/zenodo.14725457) The EDA results indicate a skewed data distribution, suggesting the presence of noise or ⁴³ ⁴⁴ outliers. Therefore, outlier removal is necessary to achieve a cleaner and more normal data distribu-⁴⁵ tion. Additionally, the dataset faces an imbalanced class issue, where the number of class 0 (negative) 45 46

³³ model. Consequently, the prediction process utilizes data with entropy values that have already been³³ ³⁴ weighted. This study employs a tree-based voting ensemble learning prediction model.³⁴

1 1 instances significantly outweighs class 1 (positive) instances. Addressing this imbalance is crucial to 2 2 ensure it does not adversely affect prediction results.

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4 4 *3.2. Handling Missing Value and Outlier*

5 5 66 Based on the detection of missing values in the GCU dataset, it was observed that the features with 6 cm τ_7 missing values are consistent across all diseases. The feature "history sport" has the highest missing rate: 8.8 7.4% in the DM dataset, 7% in the cancer dataset, 6.9% in the CVD and stroke datasets, 8.2% in the 8.8 ⁹ CKF dataset, and 8% in the HT dataset, while other features have a lower average missing rate. In this $_{10}$ study, missing values will be replaced using the mean value. Outliers in the GCU data will be removed $_{10}$ 11 to ensure that the predictions are free from noise and outliers. However, categorical features such as 11 $_{12}$ "history smoke," "history sport," "urine protein," and "urine glucose" will not undergo outlier removal, $_{12}$ 13 13 as most values in these features represent general categories, and removing outliers could result in the $_{14}$ elimination of data that is valid.

15 15 16 16 *3.3. Weighting of SMOTE*

17 17 Data is considered highly imbalanced when the imbalance ratio (IR) approaches 0, whereas an IR $_{18}$ value close to 1 indicates balanced data [\[35\]](#page-22-2). The IR values for the GCU dataset used in this study are $_{19}$ presented in Table [1.](#page-7-0) The formula for calculating the IR can be seen in Formula (4) [\[31\]](#page-22-17). In this research, $_{20}$ the majority class refers to the negative label, while the minority class refers to the positive label.

 26 26

39 39 During the distance calculation in the SMOTE algorithm, Euclidean distance is used, as it is considered 40 the most effective distance metric for determining K [\[13\]](#page-21-12). Here is the formula to calculate the euclidean 40 41 41 distance using weights.

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$$
43\n44\n45\n\ndistance_{i,j} = \sqrt{\sum \left(\frac{x_j - x_i}{\text{weights}} \right)^2}
$$
\n(5)
$$
44\n45\n46
$$

Each variable in the equations [\(5\)](#page-7-1) is defined as follows: x_j and x_i represent the values or coordinates 1 2 2 of two data points whose distance is being calculated. The term *weights* refers to the weight value of the 3 variable *Wα* or *Wβ*. Algorithm [1](#page-9-0) is the pseudocode for the traditional SMOTE algorithm, enhanced with 3
3 weight variables for each data feature. Several steps in the weighted SMOTE process are as follows: 4 4 weight variables for each data feature. Several steps in the weighted SMOTE process are as follows: 5 5 Step 1: Initialize SMOTE Object 6 6 In this step, the initial setup for the SMOTE algorithm variables is performed. The variable N is used ⁷ to determine the number of synthetic samples to be generated for each sample in the minority class. ⁷ 8 This is typically expressed as a percentage of the minority class samples. The variable K specifies the 8 9 9 number of nearest neighbors to be used to find other similar minority class samples. The variable dis-10 10 tance defines the distance metric (e.g., Euclidean distance) to measure similarity between samples. The ¹¹ variable weights assigns a weight to each data dimension, allowing specific dimensions to have a greater ¹¹ ¹² influence on distance calculations. A blank list named synthetic arr is created to store the synthetic data ¹² 13 samples generated by SMOTE. The variable newindex is initialized to 0, serving to track the index 13 ¹⁴ of new synthetic samples added to syntheticArr. This variable ensures the new synthetic data is added ¹⁴ 15 15 15 15 16 16 Step 2: Generate Synthetic Points ¹⁷ This step generates synthetic samples. However, before proceeding, it validates the input parameters. If ¹⁷ ¹⁸ the value of N (percentage) is less than 100, an error is raised. It verifies that the distance metric used is ¹⁹ either Euclidean or Ball Tree. If neither is used, an error is raised. It ensures that K does not exceed the ²⁰ number of minority samples. After validation, the algorithm computes the number of synthetic samples ²⁰ ²¹ to generate: $N = N/100$. T = the total number of minority samples, is also calculated. ²¹ 22 22 Step 3: Find K Nearest Neighbors ²³ In this step, the algorithm identifies the nearest neighbors for each minority sample to generate synthetic ²³ ²⁴ samples. During this process, weights are applied to distance calculations. For each minority sample i ²⁴ ²⁵ is the algorithm calculates the weighted distance to all other samples. These distances are stored in a ²⁵ 26 26 matrix, sorted, and the K nearest neighbors are selected. 27 27 Step 4: Populate Synthetic Samples ²⁸ This step generates new synthetic samples based on the nearest neighbors. For each sample i in the ²⁸ ²⁹ minority class: Randomly select one neighbor from the K-Nearest Neighbors. For each feature of the ²⁹ 30 sample, calculate the difference between the sample and its neighbor. Generate a new synthetic point ³⁰ ³¹ along the line connecting the sample and the neighbor using a random gap. The new synthetic sample is ³¹ 32 32 added to syntheticarr, and newindex is incremented. 33 33 Step 5: Return Synthetic Samples ³⁴ In this final step, the algorithm finalizes and returns the generated synthetic samples. The syntheticArr³⁴ ³⁵ list is converted into a NumPy array. The array is returned as output, containing the newly generated ³⁵ ³⁶ synthetic samples. The process continues until the number of minority class samples equals the number³⁶ ³⁷ of majority class samples. ³⁷ 38 38 39 39 *3.4. Weighting of Ensemble Learning Method* 40 40 ⁴¹ This study employs a machine learning prediction model based on Decision Tree (DT). In addition to ⁴¹ ⁴² DT, the research will implement a voting ensemble using RF (Random Forest), AdaBoost, and XGBoost ⁴² ⁴³ models. These three models are selected for the voting ensemble because, in several studies on chronic ⁴³ ⁴⁴ disease prediction, this method has demonstrated robust prediction results. Furthermore, in preliminary ⁴⁴

⁴⁵ experiments conducted by the researchers, this method outperformed other machine learning and deep⁴⁵

 1 learning methods [\[32\]](#page-22-9). Additionally, model ensemble learning has a strong ability to capture non-linear 2 interactions between features [\[27\]](#page-22-18), which aligns with the characteristics of GCU data that include com- 3 plex features such as risk factors for disease (lifestyle, age, and genetics). An analysis will be performed 4 to evaluate the differences in prediction results obtained by each of these models.

5 5 Attribute weighting is also applied in the voting ensemble model. The weighting process begins by 6 6 calculating the entropy value for each dataset after data balancing. Additionally, weighting is applied ⁷ to each attribute using the weighting formula. This ensures that during the voting ensemble process, ⁷ ⁸ the model places greater emphasis on attributes with higher entropy values. The entropy calculation is ⁸ 9 9 performed using formula [\(6\)](#page-10-0) [\[31\]](#page-22-17).

$$
H(x) = -\sum_{i=1}^{n} p(x_i) \log_b p(x_i)
$$
\n(6) $\frac{12}{13}$

 10 and 10 and 10 and 10 and 10 and 10 11 11

15 15 $\frac{16}{16}$ 16 m the formula. In the formula:

- $H(X)$ represents the entropy value of the random variable *X*.
- ¹⁸ $p(x_i)$ is the probability of the occurrence of the value x_i in the random variable *X*.
- \bullet *n* denotes the total number of possible values that the random variable *X* can take.
- ²⁰ \log_b is the logarithm function, where the base *b* is typically 2, commonly used in the context of ²⁰ ²¹ binary classification. ²¹ and ²¹

22 \sim 22 23 The evaluation metrics used in this study for analyzing the results are F1-score, Receiver Operating 23 $_{24}$ Characteristic-Area Under Curve (ROC-AUC), and Balanced Accuracy Score (BAS). The F1-score is $_{24}$ 25 utilized as it represents the harmonic mean of precision and recall [\[17\]](#page-21-20). ROC-AUC is employed to evalu- $_{26}$ ate the performance of the classification model and to assess how well the model can distinguish between $_{26}$ $_{27}$ positive and negative classes [\[14\]](#page-21-21). BAS ensures that the performance of both classes is weighted equally, $_{27}$ 28 providing a more realistic evaluation [\[18\]](#page-21-22). The accuracy metric is excluded because it can produce high 28 29 29 scores that may cause confusion when analyzing imbalanced datasets, as it tends to focus on the majority 30 30 class [\[18\]](#page-21-22). The algorithm related to the proposed voting ensemble can be seen on Algorithm 2. Several 31 31 steps in the weighted voting ensemble process are as follows:

 32 32

33 33 Step 1: Define Function to Calculate Entropy

34 34 This function is used to measure the uncertainty in a dataset by calculating how diverse the data is. 35 35 Higher entropy values indicate higher uncertainty or impurity in the dataset. This concept is often used

36 36 in decision tree algorithms to effectively split nodes.

37 37 Step 2: Define Function to Calculate Entropies for All Features

³⁸ In this step, a function is created to calculate and store the entropy values of all features in the dataset. ³⁸

- ³⁹ These entropy values help measure the uncertainty of each feature and can be used for further feature ³⁹ 40 40 evaluation, such as selecting the most informative feature.
- 41 41 Step 3: Define Feature Weights
- ⁴² In this step, a dictionary called weights is created to map each feature name to a corresponding weight. ⁴²
- ⁴³ The dictionary contains the feature names as keys and the weights assigned to those features as values. ⁴³
- ⁴⁴ These weights indicate how important or relevant a feature is in the analysis or predictive model. The ⁴⁴
- ⁴⁵ weights can be based on factors such as expert consultation, evaluation of the feature's information, ⁴⁵ 46 46
-

1 **1 Algorithm 2** Weighting of Voting Ensemble 2 2 1: Define Function to Calculate Entropy: 3 3 2: Compute the frequency counts of values in the column 4 4 3: Convert count to probabilities 5 5 4: Calculate entropy 6 6 5: Return the entropy values 7 7 6: Define Function to Calculate Entropies for All Features: 8 8 7: Create a function feature_entropies 9 9 8: Initialize an empty dictionary entropies 10 \blacksquare 9: for each column in the dataframe do \blacksquare 11 10: Calculate the entropy using calculate_entropy 11 ¹² 11: Store the entropy in the entropies dictionary with the column name as the key ¹² 13 12: end for 13 14 13: Define Feature Weights: 14 15 15 14: Create a dictionary weights mapping each feature name to a corresponding weight 16 16 15: Calculate Entropy for Each Feature: 17 17 16: Calculate entropy using the formula (4) 18 18 17: Calculate Weighted Entropies: 19 19 18: Initialize an empty dictionary weighted_entropies 20 20 19: for each feature do 21 21 20: Multiply the feature's entropy by its corresponding weight 22 22 21: Store the result in the weighted_entropies dictionary 23 $22:$ end for 23 24 24 23: Adjust Weighted Entropies: ²⁵ 24: Add the weighted entropies to the corresponding columns in the training dataframe to create a ²⁵ 26 26 new weighted dataframe 27 25: Make Predictions: 27 28 28 26: Use weighted voting ensemble (RF, AdaBoost, and XGBoost) 29 27: **Evaluate the Model:** 29 30 30 28: Evaluate the model using F1-score, BAS, and AUC 31 31 31 ³² or certain statistical calculations. For example, if a dataset has the features age, blood pressure, and ³² 33 cholesterol, the weights dictionary might look like this: 33 34 34 35 35 36 36 $37 \text{ weights} = \binom{3}{2}$ blood pressure \cdot 0.5 38 38 38 \sim 39 \sim 39 ⁴⁰ Step 4: Calculate Entropy for Each Feature **10** All the step 40 and the s ⁴¹ In this step, the previously defined feature entropies function is called to calculate the entropy of each ⁴¹ ⁴² feature in the dataset. The function iterates through each column and computes the entropy, which rep-⁴² ⁴³ resents the level of uncertainty or diversity in the values within that column.⁴³ 44 44 Step 5: Calculate Weighted Entropies ⁴⁵ A blank dictionary called weighted entropies is created to store the weighted entropy calculations for ⁴⁵ 46 46 12: end for 22: end for $weights =$ $\sqrt{ }$ \int $\overline{\mathcal{L}}$ $'age': 0.2,$

'black are 'blood_pressure' : 0.5 , 'cholesterol' : 0.³

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 1 each feature. This function iterates through each feature in the dataset. Each feature has an entropy value 2 (stored in the entropy dictionary) and a weight (stored in the weights dictionary). The entropy of each 3 feature is multiplied by its assigned weight. This step emphasizes or reduces the uncertainty of a feature ⁴ based on its importance. For example, if the entropy of the blood pressure feature is 1.2 and its assigned ⁴

- 5 weight is 0.5, the weighted entropy will be calculated as: $1.2 + 0.5 = 1.7$
- 6 6 Step 6: Adjust Weighted Entropies

⁷ This step helps improve the interpretation and results of the analysis or predictive model. By adjusting ⁷ ⁸ the dataset using the weighted entropy values, the model can focus more on features that have a greater ⁸ ⁹ impact based on expert assessment or prior calculations. For example, if the blood pressure feature has ⁹ 10 10 a weighted entropy of 0.6, its values in the dataframe can be updated to reflect the effect of that weight. ¹¹ This creates a new dataset called the "weighted dataframe," which is used to train the model and better ¹¹ 12 account for the relative impact of each feature. 12

13 13 Step 7 and Step 8: Make Predictions and Evaluate the Model

¹⁴ In this step, predictions are made using a weighted voting ensemble model that combines three models: ¹⁴ ¹⁵ RF (Random Forest), AdaBoost, and XGBoost. These models make predictions, and the final result is ¹⁵ ¹⁶ determined based on a weighted voting mechanism across the three models. Once the ensemble model¹⁶ ¹⁷ generates predictions, its performance is evaluated by calculating the F1-Score, BAS, and AUC.

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20 $\,$ 4. Result $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 20 $\,$ 4. Result

 22 In this study, four testing scenarios were conducted: Scenario 1 evaluates the extent of differences 23 before and after applying normalization to the weight values. Scenario 2 determines the optimal weight 24 value, ranging from 10 to 10,000. Scenario 3 identifies which model performs the best and assesses 25 the differences before and after applying weighting. Scenario 4 tests the best model for multi-year pre-²⁶ dictions. The purpose of these four scenarios is to evaluate and optimize the performance of machine ²⁶ ²⁷ learning models under various conditions and to understand the impact of different techniques, such as ²⁷ 28 normalization and weighting.

30 30 *4.1. Scenario 1*

³² In the first scenario, the objective is to evaluate the differences in applying normalization to the weight ³² 33 values generated from the weighting formula. The normalization criteria for the weight values used in ³³ ³⁴ this study are as follows: (1) Significant features identified by experts have a minimum weight of 0.5³⁴ ³⁵ and a maximum weight of 0.9. (2) Non-significant features identified by experts have a minimum weight³⁵ ³⁶ of 0.1 and a maximum weight of 0.4. These minimum and maximum thresholds are determined while ³⁶ ³⁷ ensuring that the total weight of the 26 features used equals 1, and no feature has a weight of 0. The ³⁷ 38 38 results of this first scenario are presented in Table [2.](#page-13-0)

³⁹ Based on Table [2,](#page-13-0) after the normalization process, there is an improvement in all evaluation metrics for ³⁹ ⁴⁰ several datasets. Specifically, the F1-Score for the DM dataset increased by 4%, while BAS and ROC-⁴⁰ ⁴¹ AUC improved by 7%. For the CKF dataset, the F1-Score increased by 5%, whereas BAS and ROC-AUC⁴¹ ⁴² showed a smaller improvement of 0.3%. These improvements indicate that data normalization combined⁴² ⁴³ with weighted SMOTE algorithms and entropy-based voting ensembles can enhance the model's ability ⁴³ ⁴⁴ to detect positive cases in datasets with class imbalances. Meanwhile, for other datasets such as Cancer, ⁴⁴ ⁴⁵ CVD, Stroke, and HT, evaluation metrics remained stable before and after normalization.⁴⁵ 46 46

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$\mathbf{1}$				Table 2					
2		Result of Scenario 1							
3	Dataset	Before Normalization			After Normalization				2 3
4		$F1-$	BAS	ROC-	$F1-$	BAS	ROC-		4
5		Score	$(\%)$	AUC	Score	(%)	AUC		5
6		$(\%)$		$(\%)$	$(\%)$		$(\%)$		6
	DM	65	63.5	63.5	69	70.5	70.5		7°
8	Cancer	83	75	75	83	75	75		8
9		75	66.7		75	67	67		9
10	CVD			66.7					10
11	Stroke	70	66.5	66.5	70	67	67		11
12	CKF	60	49.7	49.7	65	50	50		12
13	HT	61	61.5	61.5	61	62	62		13
14									14

15 15 16 16 This stability suggests that the applied method does not degrade the model's performance on these datasets, even though it does not always result in significant improvements. Thus, data normalization 17 $_{18}$ can enhance model performance, especially when combined with appropriate oversampling and ensem- $_{19}$ ble techniques. Furthermore, normalization and weighting have proven to contribute positively to the $_{19}$ $_{20}$ model's ability to capture better patterns, particularly in datasets with significant label imbalances.

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22 4.2. Scenario 2 22 *4.2. Scenario 2*

24 In the testing of Scenario 2, used normalized weight values, as in several cases, normalization suc-25 cessfully improved prediction performance. The purpose of this phase is to determine the optimal weight 25 $_{26}$ value within a specific range (10–10,000). The use of a range with increments in multiples of ten aims to $_{26}$ 27 observe whether there are significant jumps in prediction results compared to using shorter increments. 27 28 28 The results obtained from this second scenario are presented in Table [3.](#page-14-0)

29 Based on the results from Table [3,](#page-14-0) with a low weight value of 10, the model performance is relatively 29 30 30 low, with F1-Score, BAS, and ROC-AUC ranging between 50–75% for most datasets. This indicates 31 that low weights do not give sufficient priority to important features, preventing the model from effec-
31 32 32 tively capturing patterns. At a weight value of 100, a significant improvement is observed in datasets 33 such as DM, where the F1-Score increased by 14%, while BAS and ROC-AUC improved by 4%. This 33 34 34 suggests that weighting begins to influence the synthetic distribution in the minority class data. The 35 35 weight value of 1.000 delivers the best results across almost all datasets, particularly for DM, CKF, ³⁶ and HT. For instance: DM: F1-Score reached 86%, with BAS and ROC-AUC achieving 90%. CKF: ³⁶ ³⁷ F1-Score increased to 65%, with BAS and ROC-AUC both reaching 74%. HT: F1-Score improved from ³⁷ 38 38 61% (without weighting) to 75%, while BAS and ROC-AUC rose to 85%. These results demonstrate ³⁹ that a weight value of 1000 allows the model to focus optimally on significant features without causing ³⁹ 40 overfitting 40 overfitting.

⁴¹ On the other hand, with a weight value of 10.000, performance decreases for most datasets, such as ⁴¹ ⁴² DM (F1-Score dropping from 86% to 75%) and CKF (F1-Score reverting to 50%). This shows that ex-⁴² ⁴³ cessively high weights can lead to overfitting, where the model becomes too focused on the minority ⁴³ ⁴⁴ class and loses its ability to generalize. Therefore, a weight value of 1.000 provides the best results for ⁴⁴ ⁴⁵ most datasets, balancing improvements in the minority class with maintaining the model's generaliza-⁴⁵ 46 46

1 1 tion. However, very high weights, like 10.000, tend to cause overfitting, which lowers performance in 2 2 most datasets.

20 $\,$ 4.5. Scenario 5 $\,$ 20 *4.3. Scenario 3*

22 In this third testing scenario, a weight of 1000 is used to compare the performance of individual models $_{22}$ 23 (RF, AdaBoost, and XGBoost) with a tree-based soft voting ensemble combining these three models 23 24 after applying weighting. The purpose of this testing is to evaluate the impact of applying weights to 24 25 25 the SMOTE algorithm and the tree-based voting ensemble, by analyzing the differences in performance 26 26 before and after the weighting application.

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27 Based on Table [4,](#page-15-0) the addition of weighting significantly improved model performance across nearly 27 28 28 all datasets, particularly in the metrics F1-Score, BAS, and AUC. Before applying weighting, most mod-29 29 els recorded low F1-Scores (49–50%) with BAS and AUC stagnating in the 50–65% range. However, 30 30 after applying weighting, models like Decision Tree (DT) demonstrated drastic improvements in the 31 DM dataset, with the F1-Score increasing from 49% to 86% and BAS/AUC rising from 55% to 88%. A 31 32 similar pattern was observed in the Cancer and CVD datasets, where the DT model achieved F1-Scores 32 33 of 83% and 75%, respectively, after weighting was applied. This indicates that weighting effectively 33 ³⁴ enhances the model's ability to capture patterns in datasets with imbalanced classes or complex feature ³⁴ 35 35 distributions.

36 36 Additionally, ensemble models such as XGBoost and Voting Ensemble demonstrated the highest per-³⁷ formance after weighting, particularly on the DM dataset, with F1-Score reaching 96% and AUC 97%. ³⁷ ³⁸ Models like RF and AdaBoost also showed significant improvements but remained below the perfor-
³⁸ ³⁹ mance of the ensemble models. This improvement highlights that weighting helps ensemble models ³⁹ ⁴⁰ leverage the combined strengths of individual models, resulting in more accurate and balanced predic-⁴⁰ ⁴¹ tions. However, there were cases where the impact of weighting was less pronounced, such as in the ⁴¹ ⁴² Stroke and CKF datasets. This suggests that datasets with less complex data distributions or less infor-
⁴² ⁴³ mative features may require additional approaches beyond weighting. Overall, these results underline ⁴³ ⁴⁴ the importance of weighting in enhancing model performance, particularly for datasets with significant⁴⁴ 45 45 class imbalances, such as those with a ratio of 1:1000.46 46

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- 46 46
- 45 45

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1 1 *4.4. Scenario 4*

3 3 In this scenario, the voting ensemble model was tested for multi-year predictions. Multi-year predic-4 tions refer to forecasting for the next year $(Y+1)$ and for two years ahead $(Y+2)$. The results of this $\frac{4}{3}$ 5 5 testing are presented in Table [5.](#page-16-1)

6									6	
7				Table 5					7	
8	Result of Scenario 4									
9	Dataset		$Y+1$		$Y+2$				9	
10		$F1-$	BAS	AUC	$F1-$	BAS	\mbox{AUC}		10	
11		Score	$(\%)$	$(\%)$	Score	$(\%)$	$(\%)$		11	
12		$(\%)$			$(\%)$				12	
13	DM	75	78	82	83	86	88		13	
14	Cancer	83	83	86	83	84	87		14	
15	CVD			67	75	73	77		15	
16		61	66						16	
17	Stroke	60	65	64	75	74	77		17	
18	CKF	50	51	67	65	67	70		18	
19	HT	78	75	75	83	81	81		1 [°]	
20									2C	

²¹ 21 The multi-year prediction results reveal a noticeable difference between the model's performance for 21 22 short-term predictions (Y+1) and long-term predictions (Y+2). In the DM dataset, there was a significant $\frac{22}{\sqrt{2}}$ ²³ improvement in F1-Score (from 75% to 83%), BAS (from 78% to 86%), and AUC (from 82% to 88%) ²⁴ when predictions were extended to Y+2. This suggests that long-term trends are more stable, making 24 ²⁵ it easier for the model to identify relevant patterns. A similar pattern was observed in the HT dataset, 25 ²⁶ where scores consistently increased across all metrics for Y+2. This indicates that chronic diseases with ²⁶ $\frac{27}{10}$ clear progression and well-defined risk factors tend to have better predictability over longer timeframes. ²⁸ 28 Conversely, datasets such as CVD, Stroke, and CKF presented greater challenges, particularly for ²⁸ 29 29 Y+1, with F1-Scores of 61%, 60%, and 50%, respectively. This may be due to the dynamic nature ³⁰ of these diseases, characterized by sudden complications or high variability in short-term risk factors.³⁰ $\frac{31}{20}$ However, the scores improved significantly for Y+2, with CKF showing an F1-Score increase from $\frac{31}{20}$ $\frac{32}{20}$ 50% to 65%. This indicates that long-term trends are more predictable, even though CKF remains the ³³ 33 most difficult disease to forecast. Overall, the model demonstrates better performance for long-term 34 14 15 11 16 17 10 predictions across most datasets, emphasizing the importance of leveraging stable trends for improved $\frac{35}{35}$ accuracy in chronic disease prediction.

 $\frac{38}{5}$ Discussion $\frac{38}{5}$ 39 39 5. Discussion

40 40 *5.1. Discussion of the results for all scenarios*

⁴² Weight normalization ensures that significant features have an appropriate influence on the model, ⁴² ⁴³ allowing it to focus more on relevant patterns. Conversely, less significant features are still considered⁴³ ⁴⁴ but with a smaller impact. By normalizing weights, the model reduces the risk of overfitting on minority ⁴⁴ ⁴⁵ classes. This enables the model to learn more consistently from synthetic data generated by oversampling ⁴⁵ 46 46

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 1 techniques (e.g., SMOTE). Weight normalization helps the model more effectively detect patterns related 2 to minority classes, thus improving evaluation metrics. Without normalization, features with large values 3 can dominate the training process. With normalization, the model can fairly consider each feature based 4 on its significance. On the other hand, the complexity of disease patterns also plays a role. For example, 5 diabetes (DM) data often has more stable risk patterns and clearer predictive features (e.g., blood sugar, 6 BMI), making it easier for the model to identify patterns after significant weights are normalized. In 7 contrast, stroke often involves more dynamic or indirect risk factors (e.g., hypertension, family history), 8 making normalization have a less significant impact on the outcomes.

9 9 The optimal weight value was found to be 1,000 because it provides the ideal balance between improv-10 10 ing performance for the minority class and maintaining the model's generalization ability, compared to 11 lower values like 10 or higher values like 10,000. At a weight of 1,000, the model can sufficiently priori-
¹¹ 12 12 tize significant features without completely disregarding non-significant ones. This enables the model to 13 13 capture relevant patterns from both types of features, which is crucial for datasets with complex features. ¹⁴ A low weight, such as 10, results in an influence that is too small, making significant features insuffi-
¹⁴ 15 15 ciently helpful for the model in handling the minority class. Conversely, a high weight, such as 10,000, ¹⁶ overemphasizes the minority class, which can lead to reduced generalization ability. With a weight of ¹⁶ ¹⁷ 1,000, synthetic data generated by SMOTE has a more representative distribution for the minority class. ¹⁷ ¹⁸ At lower weights, the influence of significant features on synthetic data is inadequate. At higher weights, ¹⁸ 19 19 synthetic data may become overly dependent on certain features, leading to unrealistic patterns.

²⁰ Using excessively high weights risks causing overfitting to the minority class and has implications²⁰ ²¹ for reduced model generalization ability. With high weights, such as 10,000, the model becomes overly ²¹ 22 22 focused on the minority class and learns patterns specific to the synthetic data. As a result, the model 23 23 struggles to recognize variations in unseen data during testing. Excessive weights make the model less ²⁴ effective in handling new data, particularly from the majority class, as its primary attention is directed²⁴ ²⁵ toward the minority class. High weights can also lead significant features to dominate the training pro-²⁵ 26 26 cess, while non-significant features are completely ignored. This may cause the model to miss important ²⁷ information contained in the non-significant features. Synthetic data generated by SMOTE with overly ²⁷ ²⁸ high weights may not reflect the true distribution of the minority class, reducing the validity of predic-²⁸ ²⁹ tions. The implication of overly high weights is that the model may perform well on the training dataset ²⁹ ³⁰ but poorly on the testing dataset, undermining the primary goal of providing reliable predictions. There-
³⁰ ³¹ fore, it is crucial to maintain weights within an optimal range to maximize the model's ability to capture ³¹ 32 32 patterns from both classes effectively.

³³ The tree-based ensemble voting method demonstrates improved performance after weight adjustment³³ ³⁴ because ensemble voting combines the strengths of multiple models (Random Forest, AdaBoost, and ³⁴ ³⁵ XGBoost), enabling it to capture more diverse patterns than individual models. The addition of weights ³⁵ ³⁶ enhances this capability by ensuring a stronger focus on significant features. Individual models, such as ³⁶ ³⁷ Decision Trees, are prone to either underfitting or overfitting [\[4\]](#page-21-23). In contrast, ensemble methods bene-³⁷ ³⁸ fit from the collective decision-making process, reducing the likelihood of these issues. By leveraging ³⁸ ³⁹ weighted voting, the ensemble becomes better at addressing class imbalances and detecting meaningful³⁹ ⁴⁰ patterns, leading to more reliable and robust predictions. Using an ensemble, both bias and variance can ⁴⁰ ⁴¹ be minimized, while the addition of weights helps the model handle class imbalances more effectively. ⁴¹ ⁴² Weights place greater emphasis on significant features relevant to the minority class, enabling the models ⁴² ⁴³ within the ensemble to leverage this information more optimally compared to individual models. ⁴³

⁴⁴ Tree-based ensembles are more resilient to data imbalances than single models because the voting ⁴⁴ ⁴⁵ mechanism ensures that errors from one model can be compensated by others. This collective approach⁴⁵ 46 46

 1 enhances the model's ability to generalize across diverse patterns in the data while maintaining robust- 2 ness against the challenges posed by class imbalances [\[11\]](#page-21-24). Weights enhance this by making the minor- 3 ity class data more representative during training. Overall, adding weights to tree-based ensemble voting ⁴ not only improves performance on imbalanced datasets but also enhances the model's ability to identify ⁴ 5 complex patterns within the data. This ensures that the ensemble leverages its collective strengths to 6 achieve better generalization and more accurate predictions.

7 The model demonstrates improved performance in long-term predictions (Y+2) compared to short-8 term predictions (Y+1) because long-term trends tend to be more stable and consistent, allowing the 8 ⁹ model to identify clearer patterns. The ensemble voting method provides an advantage in recognizing ⁹ 10 10 long-term patterns by combining the predictive strengths of multiple algorithms, each capable of detect-¹¹ ing different trends. As a result, long-term predictions are generally more stable and reliable for diseases ¹¹ 12 with clear risk patterns, such as diabetes mellitus (DM) and hypertension (HT), as the model can better 12 13 13 recognize consistent trends. However, there are challenges in predicting diseases with high variability, ¹⁴ such as chronic kidney disease (CKD), compared to diseases with more stable risk patterns like DM or ¹⁴ ¹⁵ HT. These challenges include dynamic risk factors, limitations in minority class data, the complexity of ¹⁵ 16 16 feature interactions, and the influence of external factors.

17 17

18 18 *5.2. Implications for chronic disease prediction*

20 20 The implications of this study cover several aspects, including impacts on the healthcare field, tech-21 21 nological innovation, and the use of data for decision-making. The modified Weighted SMOTE method 22 22 allows for a more representative distribution of minority data, enabling the model to learn patterns more 23 23 effectively from previously underrepresented data. The addition of ensemble learning algorithms such as ²⁴ Random Forest, AdaBoost, and XGBoost strengthens the model, as each algorithm excels in capturing ²⁴ ²⁵ complex patterns. In the context of disease prediction, such as diabetes mellitus (DM) and hyperten-²⁵ 26 26 sion (HT), a higher F1-score aids in diagnosing high-risk patients, enabling earlier preventive actions. ²⁷ The improved F1-score also reduces the likelihood of diagnostic errors that could lead to inappropriate ²⁷ 28 **treatments.** 28 treatments.

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²⁹ The use of the GCU dataset sourced from the Telkom Health Foundation provides an advantage in the ²⁹ ³⁰ form of primary data that is more relevant to the local context compared to commonly used secondary ³⁰ 31 datasets. This ensures that the predictions generated are more aligned with real-world conditions. In ³¹ ³² local clinical settings, primary data can reflect unique disease patterns, such as the prevalence of certain ³² 33 diseases influenced by lifestyle or environmental factors. This also enables the personalization of models, ³³ ³⁴ making them more suitable for specific communities or populations. ³⁴

³⁵ The addition of weights based on expert assessments enhances the interpretability of the model, which³⁵ ³⁶ is crucial in clinical decision-making. The model not only provides predictions but also offers insights ³⁶ ³⁷ into which variables are most relevant, such as blood pressure or blood sugar levels. Physicians can use ³⁷ ³⁸ the model's results to design more specific therapies, for example, giving special attention to patients³⁸ ³⁹ with high blood pressure values in hypertension predictions. The use of an expert knowledge-based³⁹ ⁴⁰ model can foster better collaboration between data scientists and medical professionals, resulting in ⁴⁰ ⁴¹ more practical and applicable solutions. ⁴¹

⁴² The improvement in chronic disease prediction supports the global agenda to reduce the burden of ⁴² ⁴³ non-communicable diseases. Conditions such as diabetes and hypertension often go undetected until ⁴³ ⁴⁴ advanced stages, making early prediction crucial. The implementation of this model can be utilized in ⁴⁴ ⁴⁵ mass health screening programs to identify high-risk individuals, who can then be referred for further ⁴⁵ 46 46

1 1 care. This model can also be adopted by other healthcare institutions to optimize limited resources, for 2 2 instance, by focusing on more vulnerable population groups.

 3 The issue of data imbalance, where the majority class (negative class) dominates the minority class 4 (positive class), often causes bias in the model. The modification of Weighted SMOTE by incorporating 5 weights based on relevant attributes provides better data distribution and improves model performance. 6 This algorithm could set a new standard for handling imbalanced medical data, especially for datasets 7 with extreme imbalance ratios such as 1:1000. It also has the potential to extend SMOTE applications $\frac{7}{100}$ 8 beyond disease prediction, for example, in analyzing imbalanced sales data or other rare events.

9 9 Multi-year predictions demonstrate that the model can capture long-term trends better than short-term 10 ones. This is crucial because chronic diseases often develop gradually and are influenced by cumulative 10 11 risk factors. Long-term predictions aid in the formulation of public health strategies, such as targeted 11 12 health resource allocation or more focused awareness campaigns. Medical institutions can design more 12 13 measurable population-based prevention programs, emphasizing the prevention of disease progression 13 14 14 over several years.

15 15 The approach applied can be utilized in other domains facing similar challenges, such as data imbal-₁₆ ance or the need to integrate expert domain knowledge. Examples include fraud analysis in financial ₁₆ 17 systems or damage monitoring in the manufacturing industry. In the financial sector, this technique can 17 $_{18}$ help detect rare but highly impactful fraudulent activities. In manufacturing, the algorithm can be used $_{18}$ 19 for machine failure prediction, where failures are rare but require significant attention.

20 $\qquad \qquad 20$ 21 21 *5.3. Future Directions and Challenges*

 22 Improvements to traditional SMOTE often address the issue of generating synthetic data that is less 22 ²³ representative when minority data has a distribution significantly different from the majority. By incor-²³ ²⁴ porating attribute weights based on domain knowledge, Weighted SMOTE ensures that critical attributes²⁴ 25 are given higher priority in the generation of synthetic data. 25

²⁶ 26 This study also incorporates Expert Knowledge Integration. Typically, algorithms rely solely on data ²⁷ to make decisions, but this research demonstrates that incorporating expert input (e.g., from doctor) can ²⁷ ²⁸ produce results that are more clinically relevant.

²⁹ This model sets the stage for further research on integrating domain knowledge into other algorithms,²⁹ ³⁰ such as clustering, neural networks, or reinforcement learning. This concept can be expanded to tackle ³⁰ ³¹ extreme data imbalance across various fields, including bioinformatics (e.g., genetic prediction), finance³¹ ³² (fraud detection), and transportation (rare incident analysis).³²

³³ Dynamic attribute weighting: The current method utilizes fixed weights based on expert judgment.³³ ³⁴ Future research can explore the impact of adaptive methods, such as genetic algorithms or deep rein-³⁴ ³⁵ forcement learning, to determine weights in real-time. Evaluation of impact on non-SMOTE algorithms: ³⁵ ³⁶ This weight-based approach can also be integrated into other algorithms, such as ADASYN (Adaptive³⁶ ³⁷ Synthetic Sampling), to compare its effectiveness.

³⁸ A Weighted SMOTE-based system can automatically analyze general check-up data and provide risk³⁸ ³⁹ scores for various chronic diseases, such as diabetes, hypertension, or cardiovascular diseases. In the ³⁹ ⁴⁰ future, with the integration of technologies like the Internet of Things (IoT), wearable devices could ⁴⁰ ⁴¹ collect real-time data and transmit it to the predictive system, enabling early warnings for patients and ⁴¹ $\frac{42}{42}$ doctors $\frac{42}{42}$ doctors.

⁴³ Several challenges arise, such as implementing this model on a large scale, which requires adequate ⁴³ ⁴⁴ computational infrastructure to process data in real time. In large hospitals or healthcare centers, inte-
⁴⁴ ⁴⁵ grating this technology must account for both hardware and software requirements. Health data is highly ⁴⁵ 46 46

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1 sensitive and requires strict security measures. This research should be followed by the development of 1 2 encryption and data anonymization protocols to ensure patient privacy. In areas with limited internet 3 access or inadequate hardware, this technology may require adaptation in the form of models optimized 4 for low-power devices.

 6 7 7 6. Conclusion

9 9 This study presents a novel framework for chronic disease prediction leveraging GCU data, integrating 10 10 expert judgment into machine learning techniques. The research demonstrated a significant enhancement 11 in prediction accuracy, ranging from 10% to 47% compared to conventional methods, which underscores 11 12 the effectiveness of the proposed Weighted SMOTE and ensemble learning approach. Specifically, this 12 13 13 method addresses the challenges of imbalanced datasets by introducing attribute weighting informed by 14 14 expert judgment, enabling more accurate identification of minority classes—often the most critical in 15 medical diagnostics. 15

 16 The integration of expert judgment not only enhanced the interpretability of the model but also ensured ¹⁷ the prioritization of clinically significant features, such as blood glucose levels and blood pressure. This 18 approach bridges the gap between data-driven algorithms and clinical expertise, making it a practical 19 solution for real-world healthcare applications.

20 20 The experimental scenarios validated the robustness and versatility of the proposed framework. For 21 21 instance, the weight normalization process improved key metrics, including F1-Score and ROC-AUC, 22 22 across various datasets. The optimal weighting factor of 1,000 provided the best balance between perfor-23 mance and generalization, avoiding the pitfalls of overfitting or underrepresentation of critical features. 23 ²⁴ Moreover, the tree-based ensemble voting method, combining Random Forest, AdaBoost, and XGBoost, ²⁴ ²⁵ further enhanced prediction accuracy by leveraging the strengths of multiple algorithms.

26 26 Long-term prediction scenarios (Y+2) demonstrated better performance than short-term predictions 27 (Y+1), particularly for chronic diseases with stable progression patterns like diabetes mellitus and hy- 27 ²⁸ pertension. This highlights the model's ability to capture cumulative risk factors and stable trends over ²⁸ ²⁹ time, making it a valuable tool for preventive healthcare planning. 29

³⁰ Future research should focus on refining the dynamic weighting process, potentially employing adap-³⁰ ³¹ tive algorithms like genetic optimization or reinforcement learning, to further enhance the flexibility and ³¹ ³² applicability of the model. Additionally, expanding the framework to other domains, such as bioinfor-³² ³³ matics, fraud detection, or rare event analysis, could validate its broader applicability.³³

³⁴ This study contributes to the global health agenda by providing a scalable, interpretable, and accurate ³⁴ ³⁵ predictive model that can be integrated into healthcare systems. It not only enhances diagnostic precision³⁵ ³⁶ but also aids in resource optimization for early intervention programs, ultimately improving patient³⁶ 37 37 outcomes and reducing the burden of chronic diseases. 38 38

39 39

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